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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/080,100

02/21/2002

Carlos F. Barbas III

TSRI 760.1

CONFIRMATION NO. 9900

26621 THE SCRIPPS RESEARCH INSTITUTE OFFICE OF PATENT COUNSEL, TPC-8 10550 NORTH TORREY PINES ROAD

FORMALITIES LETTER

OC000000007851156

Date Mailed: 04/11/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/20/2002 SZEWDIE1 0000008£ 10680100

LA JOLLA, CA 92037

FILED UNDER 37 CFR 1.53(b)

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130.00 09

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at

patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY



TO POHO

PAPENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this **RESPONSE TO NOTICE OF MISSING PARTS** and the documents referred to as enclosed therein are being deposited with the United States Postal Service on the date indicated below with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, Attn.: BOX MISSING PARTS.

Nancy Malsich

6/11/02

Nancy Malsich

Date of Deposit

Applicant: Barbas, et al.

) Art Unit: unassigned

Serial No.: 10/080,100

Evaminar, unaggioned

Filed: February 21, 2002

Examiner: unassigned

rifed: rebrudry 21, 2002

For: ZINC FINGER BINDING DOMAINS FOR NUCLEOTIDE SEQUENCE ANN

Our Ref.: TSRI 760.1

RESPONSE TO NOTICE OF MISSING PARTS

Assistant Commissioner for Patents Washington, D.C. 20231

Attn: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed April 11, 2002, enclosed are two (2) executed Declaration and Power of Attorney documents in support of the above-identified patent application. Enclosed is a check in the amount of \$130.00 for the surcharge set forth in 37 CFR §1.16(e).

In response to the request to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures Under 37 CFR \$1.821-1.825 included on the Notice to File Missing Parts, applicant hereby asserts that the Sequence Listing in the present application 10/080,100 is identical with that filed on December 10, 2001 in U.S. Application Serial No. 09/791,106, having a filing date of February 21, 2001. In accordance with 37 CFR 1.821(e), please

use the computer readable form filed on December 10, 2001 in U.S. Application Serial No. 09/791,106 as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the computer readable form that will be used for the instant application. A paper copy of the Sequence Listing is submitted herewith.

The undersigned hereby states that the content of the paper and computer-readable copies of the Sequence Listing, submitted in accordance with 37 CFR \$1.821-1.825 are the same.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that making willful false statements and the like is punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Please charge any additional fee concerning this matter to our Deposit Account No. 19-0962.

Respectfully submitted,

Thomas & Most

Thomas E. Northrup, Reg. No. 33,268

THE SCRIPPS RESEARCH INSTITUTE 10550 North Torrey Pines Road Mail Drop TPC-8
La Jolla, California 92037 (858) 784-2937

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